

Attorney Docket # 5367-220PUS

Express Mail #EV747328742US

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Volker HÄRLE

Int'l PCT Serial No.: PCT/DE2004/001593

Int'l Filing Date:: July 22, 2004

For: Method for the Production of a Plurality of
Opto-Electronic Semiconductor Chips and
Opto-Electronic Semiconductor Chip

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

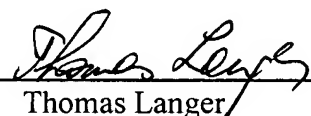
In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By 
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Dated: January 30, 2006

Substitute for Form 1449/PTO		10/566955	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Volker HÄRLE
		Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	5367-220PUS	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code ² (if known)			
		US-6,110,277 A	08/29/2000	Braun et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
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		Country Code ³ Number ⁴ Kind-Code ⁵ (if known)				
		EP 0 472 221 A2	02/26/1992	NEC Corporation		
		EP 1 005 067 A2	05/31/2000	Sony Corporation		
		EP 0 388 733 A1	09/26/1990	Fujitsu Limited		
		JP 05 226781 A	12/13/1993	Fujitsu Ltd.		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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IAP9 Rec'd PCT/PTO 30 JAN 2006

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	
	Filing Date	
	First Named Inventor	Volker HARLE
	Art Unit	
Examiner Name		
Sheet 2 of 2	Attorney Docket Number	5367-220PUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Yang et al., "Selective area deposited blue GaN-InGaN multiple-quantum well light emitting diodes over silicon substrate", Applied Physics Letters, American Institute of Physics, New York, Vol. 76, No. 3, pp. 273-275, January 17, 2000	
		Miyata et al., "Selective growth of nanocrystalline Si dots using an ultrathin-Si-oxide/oxynitride mask", Applied Physics Letters, American Institute of Physics, New York, Vol. 77, No. 11, pp. 1620-1622, September 11, 2000	

Examiner Signature		Date Considered	
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